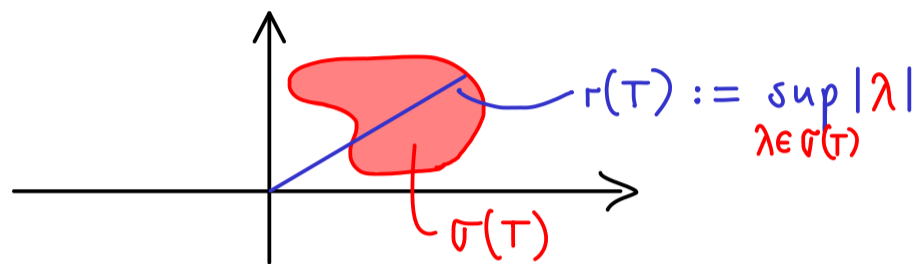


## Functional analysis - part 31

Spectral radius:  $X$  complex Banach space  $T: X \rightarrow X$   
 bounded linear operator



Theorem:  $X$  complex Banach space,  $T: X \rightarrow X$  bounded linear operator.

Then: (a)  $\sigma(T) \subseteq \mathbb{C}$  is compact

(b)  $X \neq \{0\} \Rightarrow \sigma(T) \neq \emptyset$

(c)  $r(T) := \sup_{\lambda \in \sigma(T)} |\lambda| = \lim_{k \rightarrow \infty} \|T^k\|^{\frac{1}{k}} = \inf_{k \in \mathbb{N}} \|T^k\|^{\frac{1}{k}} \leq \|T\| < \infty$

Proof: For  $\lambda \in \mathbb{C}$  with  $|\lambda| > \|T\|$ :  $(I - \frac{T}{\lambda})^{-1} = \sum_{k=0}^{\infty} (\frac{T}{\lambda})^k$

$$\Rightarrow (T - \lambda)^{-1} = -\frac{1}{\lambda} (I - \frac{T}{\lambda})^{-1} = -\frac{1}{\lambda} \sum_{k=0}^{\infty} (\frac{T}{\lambda})^k \quad (*)$$

$\Rightarrow \sup_{\lambda \in \sigma(T)} |\lambda| \leq \|T\| \Rightarrow \sigma(T)$  is bounded

For (b): Assume  $\sigma(T) = \emptyset \Rightarrow \rho(T) = \mathbb{C}$

Reminder: The map  $\rho(T) \rightarrow \mathcal{B}(X)$

$\lambda \mapsto (T - \lambda)^{-1}$  is analytic.

Take any  $\ell \in \mathcal{B}(X)'$ :  $f_\ell: \mathbb{C} \rightarrow \mathbb{C}$   
 $\lambda \mapsto \ell((T - \lambda)^{-1})$

analytic function (holomorphic function)

We get that  $f_\ell$  is a bounded entire function.

For  $|\lambda| \geq 2 \cdot \|T\|$ :  $(T - \lambda)^{-1} = -\frac{1}{\lambda} \sum_{k=0}^{\infty} \left(\frac{T}{\lambda}\right)^k$  (\*)

$$\begin{aligned} |f_\ell(\lambda)| &\leq \|\ell\| \cdot \|(T - \lambda)^{-1}\| \leq \|\ell\| \underbrace{\frac{1}{|\lambda|}}_{\leq \frac{1}{2\|T\|}} \sum_{k=0}^{\infty} \underbrace{\left\|\frac{T}{\lambda}\right\|^k}_{\leq \frac{1}{2}} \\ &\leq \frac{\|\ell\|}{\|T\|} \end{aligned}$$

Liouville's theorem

$\implies f_\ell$  is constant

$$f_\ell(0) = \ell(T^{-1})$$

$$\begin{aligned} f_\ell(\lambda) &= \ell((T - \lambda)^{-1}) = \ell\left(\sum_{k=0}^{\infty} (T)^{-(k+1)} \cdot (\lambda)^k\right) \\ &= \sum_{k=0}^{\infty} \ell(T^{-(k+1)}) \cdot \lambda^k \end{aligned}$$

$\implies \ell(T^{-2}) = 0$  for all  $\ell \in \mathcal{B}(X)'$

Hahn-Banach theorem

$\implies T^{-2} = 0 \implies X = \{0\}$   
(part 25)